

Deriv Investments (Europe) Limited

Reference number: 700094

This firm is regulated in another European Economic Area (EEA) country and can offer certain products or services in the UK. The firm must meet minimum standards agreed across all EEA countries. **It's important to check the full record for what activities this firm can do in the UK.**

Temporary Permission

Firms we regulate may also carry out activities that are not regulated by either the FCA or the Prudential Regulation Authority (PRA). Complaints or claims about these unregulated activities may not be covered by the Financial Ombudsman Service (FOS) or the Financial Services Compensation Scheme (FSCS). If you are unsure whether an activity undertaken by a firm is regulated by us or the PRA, then you should ask the firm to confirm in writing what protections will be available to you if you need to make a complaint or claim compensation.

Temporary permissions regime

This firm is in the UK's temporary permissions regime for EEA-based firms that previously passported into the UK. While in the regime, this firm can continue to undertake new business with new customers and service existing customers.

Firms in the temporary permissions regime will still need to meet minimum standards, including many of those agreed across all EEA countries and should also include specific (...)

More information

Who is this firm?

Firm details

Check details about this firm's place of business, contact details, etc.



Address

Level 3, W Business Centre,
Triq Dun Karm, Birkirkara BKR 9033
N/A

Firm reference number

700094

Firm status

Check this firm's status and any additional regulatory information.



Status

EEA Authorised - Temporary Permission

Since 08/06/2015

This firm is regulated in another European Economic Area (EEA) country and can offer certain products or services in the UK. The firm must meet minimum standards agreed across all EEA countries. **It's important to check the full record for what activities this firm can do in the UK.**

Sub status

Temporary Permission

Since 31/12/2020

Type

Services (UK) of an Overseas Firm

Trading names



This firm currently trades under 1 trading names.

Current names

Showing 1 result out of 1

Name	Status	Effective from
Deriv Investments (Europe) Limited	Registered	08 Sep 2020

How are customers protected?

Protections and support



Understand the protections you have when dealing with this firm, and how to make a complaint.

Customer protections and the Register

Regulated activities performed by regulated firms or individuals may be covered by certain protections. Some of these protections are not through the FCA but are primarily through the Financial Ombudsman Service and the Financial Services Compensation Scheme, or the compensation scheme or complaints handling body in the country that regulates this EEA firm.

The protections available relate to specific regulated activities. We recommend that you check below for the activities that this firm has FCA and/or PRA permission to provide before going ahead. You should also contact the firm and ask them to confirm the specific protections available to you.

The Financial Ombudsman Service

Regulated activities that EEA firms have permissions for may be covered by the Financial Ombudsman Service. However, this does not include EEA firms in contractual run-off. Where activities are covered, if the firm fails to respond to your complaint or their response is not satisfactory, you can contact the **Financial Ombudsman (<https://www.financial-ombudsman.org.uk/>)**, for help. To help determine whether you can take your complaint to the Financial Ombudsman, please see our **information (<https://www.fca.org.uk/consumers/how-brexit-could-affect-you/dealing-eea-firms-funds>)** about dealing with EEA firms.

The Financial Services Compensation Scheme

The Financial Services Compensation Scheme (FSCS) primarily protects customers of UK regulated firms, although may be available to compensate customers of failed EEA firms with temporary permission or those that are in supervised run-off. However, this is not true for all such firms (in particular FSCS cover does not apply to most firms which do not have a branch in the UK). Customers of firms in contractual run-off (other than insurers) will not have FSCS cover.

For firms passporting in from Gibraltar, the compensation scheme in Gibraltar may be able to compensate customers if the firm fails. Alternatively or in addition, the Financial Services Compensation Scheme may be able to compensate certain customers if the firm fails.

The details of the coverage of FSCS are set out in the Compensation sourcebook (COMP) sections of the FCA's Handbook and the Depositor Protection and Policyholder Protection parts of the PRA's Rulebook. You can also see more **information (<https://www.fca.org.uk/consumers/how-brexit-could-affect-you/dealing-eea-firms-funds>)** about dealing with EEA firms, about claiming **compensation (<https://www.fca.org.uk/consumers/claim-compensation-firm-fails>)**, and the FSCS on our website.

If you have a complaint about a firm

First try to contact the firm directly. In most circumstances, a firm must reply within 8 weeks, or 15 days for payment services such as bank deposits, direct debits or online payments.

If you are concerned you have been scammed, contact **FCA Contact Centre (<https://fca.org.uk/contact>)** and **Action Fraud (<https://www.actionfraud.police.uk/>)**, immediately.

Complain to the firm

Level 3, W Business Centre,
Triq Dun Karm, Birkirkara BKR 9033
N/A

Report to the FCA

If you have concerns about a firm listed on the Register, **contact the FCA directly. (<https://www.fca.org.uk/contact>)**.

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using **this form. (<https://www.fca.org.uk/report-scam-unauthorised-firm-individual>)**.

Help using the Register

If you have any concerns or difficulties using the Register you can contact the FCA and we will talk you through the record and answer any queries you may have.

What can this firm do in the UK?

Regulated activities that this non-UK firm can carry out in the UK

Activities permitted in the Temporary Permissions Regime or the Financial Services Contracts Regime (EEA firms) or Passport In (Gibraltar firms).

If this firm is in the temporary permissions regime these are the activities the firm is permitted to undertake in the UK. For firms in the supervised run-off or contractual run-off regimes, firms may only carry on the activities below where necessary for the performance of a contract entered into before it enters the regime (or in the other cases referred to, as the case may be, in regulation 33, 40 or 52 of the EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018 (as amended). If this firm is authorised in Gibraltar, its 'passport' enables it to provide financial products or services in the UK. The activities the firm is permitted to undertake in the UK are shown below.

Select Country

 

Country

MALTA

Directive

Markets in Financial Instruments Directive

Passport Type

Service

A(2) Execution of orders on behalf of clients

Investment Types

A(3) Dealing on own account

Investment Types

Who is this firm connected to?

Regulators



Current and former regulators of this firm.

This table shows the former and current regulators of this firm, in the UK and in other European Economic Area (EEA) countries.

Showing 1 result out of 1

Regulator	Effective from	Effective to
Malta Financial Services Authority	08 Jun 2015	